

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hiroiyuki Sasai et al.

Serial No.: 10/537,171

Filed: June 1, 2005

For: OPTICAL TRANSMISSION  
SYSTEM



Patent Examiner:

Group Art Unit: 2817

November 22, 2006

Costa Mesa, California 92626

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In an attempt to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97 and 1.98, applicant wishes to bring to the attention of the U.S. Patent Office the following reference, which was found during the prosecution of a co-pending Chinese patent application:

U.S. Patent No. 5,777,771 (corresponds to CN 1120377A)  
U.S. Patent No. 5,917,636 (corresponds to CN 1120377A)  
Chinese Patent Application CN 1120377A  
(corresponds to US 5,777,771 & 5,917,636)  
WIPO/PCT International Publication No. WO 02/091644

Copies of the foreign references and form PTO-A820 are attached.

The undersigned attorney hereby certifies that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing the information disclosure statement.

If the Examiner believes that a telephone conference would help further the prosecution of this case, he is respectfully requested to contact the undersigned attorney at the listed telephone number.

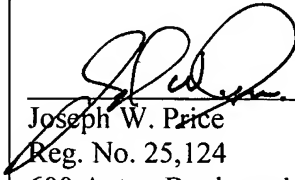
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patent, PO Box 1450, Alexandria, VA 22313-1450, on November 22, 2006 by Candy Neu

  
\_\_\_\_\_  
Signature

Date of Signature: November 22, 2006

Very truly yours,

SNELL & WILMER LLP

  
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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional)		Application Number	
				92478-2500		10/537,171	
				Applicant(s)			
				Hiroyuki Sasai			
				Filing Date		Group Art Unit	
				06/01/2005		2817	

U. S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,777,771	7/7/98	Smith			
		5,917,636	6/29/99	Wake et al.			

U.S. PATENT APPLICATION PUBLICATIONS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		CN 1120377A	1996.4.10	China (corresponds to US 5,777,771 & 5,917,636)			X	
		WO 02/091644	14.11.2002	WIPO/PCT			X	

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		

EXAMINER	DATE CONSIDERED
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	